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Practitioner's Docket No. 2003DE119

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Heckmann et al.

**RECEIVED
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Application No.: 10/560,095

Group No.:

Filed: December 9, 2005

For: Hetexocyclic Colorants Based on Diazabenzosindoles

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT**

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10*
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Applicant Heckmann et al.:
Serial No. 10/560,095
Page 2

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☐ PCT Search Report
 - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

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Applicant Heckmann et al.:

Serial No. 10/560,095

Page 3

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,

Anthony A. Bisulca
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	APPY. DOCKET NO. 2003DE119		SERIAL NO. 10/560,095
	APPLICANT: Hackmann et al.		
	FILING DATE: 12/9/2005	GROUP:	
	(Empty row)		

U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date if Appropriate
/B.M./	AA	4,166,179	9/29/1979	Lotsch			
/B.M./	AB	4,384,060	5/17/1983	Araki et al.			
/B.M./	AC	6,077,339	6/20/2000	Nyssen, et al.			
	AD						
	AE						
	AF						
	AG						

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION							
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
/B.M./	BA	EP 0038548	10/28/1981	EPO			English Abstract
/B.M./	BB	DE 19644077	5/7/1998	Germany			See AC above
	BC						
	BD						
	BE						
	BF						
	BG						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication**)

/B.M./	CA	PCT Search Report for PCT/EP2004/005459, mailed 9/9/2004.
/B.M./	CB	PCT IPER for PCT/EP2004/005459, mailed 11/23/2005
/B.M./	CC	Maeda et al. "Electrical Twisting Sticks in a Transparent Tube" International Congress of Imaging Science, Tokyo, pp 507, 508 (2002)
EXAMINER /Brian McDowell/		DATE CONSIDERED 08/03/2009
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		